

09-27-01

A

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37 CFR 1.53(b))

1c971 U.S. PTO

09/964059

09/26/01

Attorney Docket No.	0201-0001
First Inventor or Application Identifier:	Frudakis
Title:	Efficient Methods and Apparatus for High-Throughput Processing of Gene Sequence Data
Express Mail Label No.:	ET760373865US

Application Elements
(See MPEP chapter 600 concerning utility patent application contents)

ADDRESS TO: Assistant Commissioner For Patents
BOX PATENT APPLICATION
Washington, D.C. 20231

1. ☒ **Fee Transmittal Form**
(Submit an original, and a duplicate for fee processing)
2. ☒ **Specification** [Total Pages 73]
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

6. ☐ Microfiche Computer Program (Appendix)

7. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement Verifying identity

3. ☒ **Drawing(s)** (35 USC 113) [Total sheets 4]
4. ☒ **Oath or Declaration** [Total Pages 3]

- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
(Note Box 5 below)

- i. ☐ **Deletion of Inventor(s)**

Signed statement attached in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

5. ☐ **Incorporation by Reference** (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment
9. ☐ 37 CFR 3.73(b) Statement
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure ☐ Copies of IDS
Statement (Form 1449) Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (CPEP 503)
(Should be specifically itemized)
14. ☐ Small Entity ☐ Statement filed in prior application,
Statement Status is still proper and desired
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ OTHER: Express Mail Certification
☒ Check # (\$ 1,320.00)

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

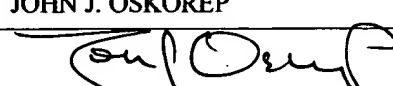
☐ Continuation ☐ Divisional ☐ Continuation-In-Part of prior application no.:
Prior application information: Examiner: Group/Art Unit:

18. CORRESPONDENCE ADDRESS

TM Customer Number ()
Or Bar Code Label

OR

TM Correspondence Address Below

NAME	ATTN: John J. Oskorep		
ADDRESS	One Magnificent Mile Center 980 N. Michigan Avenue, Suite 1400 Chicago, Illinois 60611		
Telephone:	312-222-1860	Fax No.:	773-477-6144
Name (print/type)	JOHN J. OSKOREP	Registration No.: (Attorney/Agent)	41,234
Signature		Date	26 Sept 2001

claims priority to
Prov. App. No. 60/1214,686
(DNA 1200)

09/26/01

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FEE TRANSMITTAL

Attorney Docket No.	0201-0001
First Named Inventor:	Frudakis
Application Number	not yet assigned
Filing Date:	not yet assigned
Examiner Name:	not yet assigned
Group/Art Unit:	not yet assigned

TOTAL AMOUNT OF PAYMENT:	\$ 1320.00
METHOD OF PAYMENT (check One)	1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and/or credit any over payment to:
	Deposit Account No.: Deposit Account Name:
	<input type="checkbox"/> Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other	

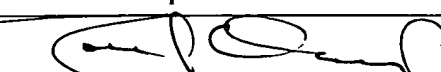
2. UTILITY Basic Filing Fee & Claims

(1) For	(2) No. filed	(3) No. extra	(4) Large Entity	(5) Small Entity	(6) Calculations
Basic Filing Fee	XX	XX	\$ 710.00	\$355.00	\$ 710.00
Total Claims	# - 20 =	25	X \$ 18.00	X \$ 9.00	\$ 450.00
Independent Claims	# - 3 =	2	X \$ 80.00	X \$ 40.00	\$ 160.00
Multiple Dependent Claim(s) (if applicable)		0	\$ 270.00	\$135.00	\$ 000.00
Total of above Calculations =					\$ 1320.00

Basic Filing Fee	Large Entity	Small Entity	Total
Design filing fee	\$ 320.00	\$ 160.00	\$ 000.00
Reissue filing fee	\$ 710.00	\$ 355.00	\$ 0.00
Provisional filing fee	\$ 150.00	\$ 75.00	\$ 0.00
Total of above Calculations =			\$

3. ADDITIONAL FEES

Fee Description	Large Entity	Small Entity	Other
	\$	\$	\$
	\$	\$	\$
	\$	\$	\$
	\$	\$	\$
TOTAL:			\$

Name (print/type)	John J. Oskorep	Registration No.: (Attorney/Agent)	41,234
Signature		Date	26 Sept 2001

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[illegible]

**I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING
DEPOSITED WITH THE UNITED STATES POSTAL SERVICE
"EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER
37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED
TO THE ASSISTANT COMMISSIONER FOR PATENTS,
WASHINGTON, D.C. 20231.**

NAME _____

SIGNATURE